

Annual Review of the Care Inspectorate Financial Regulations

Report to: Board
Date: 28 March 2019
Report by: Kenny Dick, Head of Finance and Corporate Governance
Report No: B-08-2019
Agenda Item: 14

PURPOSE OF REPORT

In line with the approval and revision arrangements (para 24 of financial regulations attached as Appendix 1) the annual review of Financial Regulations is now due to be carried out.

Board members are requested to approve the Care Inspectorate Financial Regulations to ensure they remain fit for purpose in accordance with corporate governance best practice.

RECOMMENDATIONS

That the Board:

1. Approves the Financial Regulations.

Consultation Log

Who	Comment	Response	Changes Made as a Result/Action
Senior Management	EG – no changes Chief Executive review		
Legal Services			
Corporate and Customer Services Directorate			
Committee Consultation (where appropriate)	Resources Committee 5/12/17	Agreed to submit to Board	
Partnership Forum Consultation (where appropriate)			
Equality Impact Assessment			
Confirm that Involvement and Equalities Team have been informed	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>	
EIA Carried Out	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>	
If yes, please attach the accompanying EIA and appendix and briefly outline the equality and diversity implications of this policy.			
If no, you are confirming that this report has been classified as an operational report and not a new policy or change to an existing policy (guidance, practice or procedure)	Name: Kenny Dick Position: Head of Finance and Corporate Governance		
Authorised by Director	Name: Gordon Weir	Date: 10 January 2019	

1.0 INTRODUCTION

Corporate Plan reference

Strategic Objective No 4

We will perform as an independent, effective and efficient scrutiny and improvement body, working to consolidate excellence, deliver cultural change, invest in a competent, confident workforce and work collaboratively with partner agencies to support the delivery of safe and compassionate, rights-based care.

Key Priority 4.5

Strengthen our governance arrangements and success reporting so that we are transparent, accountable and open to challenge on how we evidence our success and use of public monies and resources. We will review in partnership with Scottish Government, our legislative framework to ensure it is fit for purpose and acts as an enabler in delivering our statutory responsibilities of providing protection and assurance for people who use services and their carers.

1.1 Background

The Financial Regulations were last reviewed in June 2018. In line with the approval and revision arrangements (para 23 of financial regulations attached as Appendix 1) the annual review of Financial Regulations is now due to be carried out.

A summary of the proposed amendments is set out in paragraph 5.0 of the Version Control section of the Regulations. The principal changes refer to the inclusion of delegated authority for the approval to write off debts and or losses (section 23).

2.0 RESOURCE IMPLICATIONS

There are no direct resource implications arising from this report.

3.0 CUSTOMER SERVICE IMPLICATIONS

The monitoring, review and publication of the financial regulations relate to strategic theme five of the Customer Service Strategy.

4.0 BENEFITS FOR PEOPLE WHO EXPERIENCE CARE

Ensuring effective financial governance ensures we maximise the resources available to bring benefits to people who experience care.

Version: 4.0	Status: <i>Final</i>	Date: 10/01/19
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5.0 CONCLUSION

Effective financial regulations, and regular periodic review, are necessary to maintain the effectiveness of financial systems. This annual review demonstrates our commitment to ensuring our financial regulations remain fit for purpose.

LIST OF APPENDICES

Appendix 1 - Draft Financial Regulations (version 6.0)

Version: 4.0	Status: <i>Final</i>	Date: 10/01/19
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